



PMK Est
2001

International LLC

PMK Instruction Series

How to Run the ES022 Report in ACE IEEPA/CAPE Refunds

Prepared June 2026

Alternate reports to Run:

- **Importer/Revenue/Refunds/Rev-603**
Similar details to ES022
- **Importer/Revenue/Refunds/Rev-613**
Lists any ACH Refunds that might have had problems with deposit into your account
- **Importer/Liquidation/ES-701**
Helps to monitor liquidation status

Here's how to run the ES-022 (CAPE Entry Summary Report) in ACE:

Step-by-Step Instructions

1. **Log into the ACE Secure Data Portal**
 - Go to the [ACE Portal](#).
 - Log in with your username/password and complete the security token verification.
 - Select the correct **Importer** or **Broker** account.
2. **Access Reports**
 - Click **Reports** (usually in the top right or left menu).
3. **Navigate to Folders**
 - Click the **Folders** tile.
 - Expand the path: **Public Folders** → **ACE** → **Trade** → **Importer** (or **Broker**) → **Entry Summary**
4. **Select and Run ES-022**
 - Scroll to find **ES-022 CAPE Entry Summary Report**.
 - Click on it.
 - The **Prompts** window will open.
5. **Fill in the Prompts**
 - **Trade Account ACE ID** (required).
 - **Entry Date Begin** and **Entry Date End** (defaults may be broad — narrow these dates for faster results).
 - Optional filters: CAPE Declaration Number, Entry Number, etc.
 - Click **Run**.

What the ES-022 Report Shows

- Links **CAPE Declaration numbers** to specific **entries** and **refund numbers**.
- Displays approved refund amounts broken out by **principal** and **interest**.
- Helps track the status of your IEEPA/CAPE refund claims.

Recommended workflow for IEEPA refunds: CAPE Tab → **ES-022** → ES-701 → REV-603 → REV-615

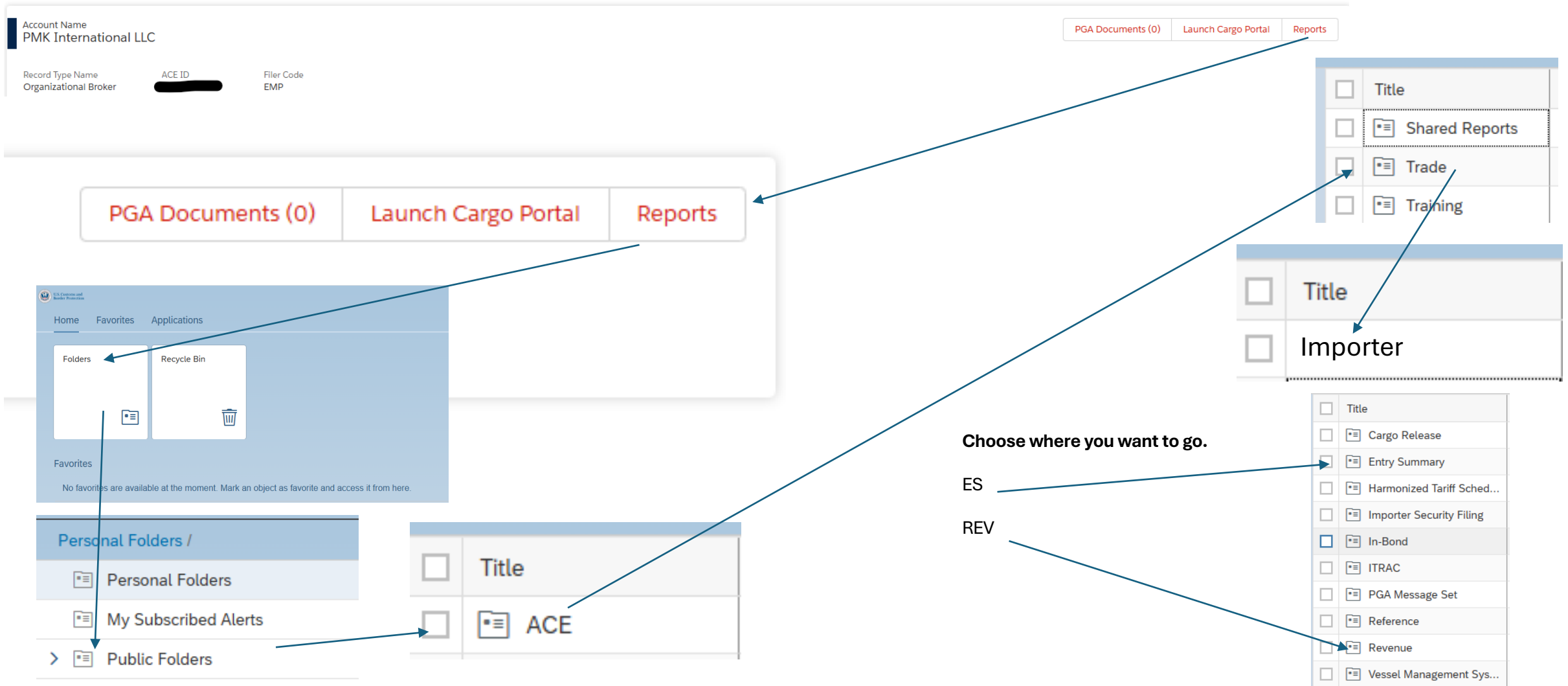
Tips:

- You can **schedule** this report to run automatically and email results (see CBP's scheduling QRC).
- Brokers can run it for their importer clients.
- If no data appears, check the date range or confirm CAPE declarations have been accepted.

Annoyances with the ACE Report Module

- Screen Turn-over can be slow
- A “ POP UP Blocked” message can happen. In general , PMK Has found that if you close that message and click “reports” again, the module will load. Please discuss with your IT department.
- A Message will pop up that states “ Taking Too Long to Load” and will ask if you want to “wait or close down”. Always choose “wait” and the web page will load shortly thereafter

How Do I Navigate to Reports?



The screenshot shows the PMK International LLC user interface. At the top left, the account name is PMK International LLC. Below it, the record type name is Organizational Broker, the ACE ID is redacted, and the filer code is EMP. In the top right corner, there are three buttons: "PGA Documents (0)", "Launch Cargo Portal", and "Reports".

Below the account information, there is a navigation bar with three buttons: "PGA Documents (0)", "Launch Cargo Portal", and "Reports".

On the left side, there is a sidebar with a "Home" tab and "Favorites" and "Applications" sub-tabs. Under "Favorites", there is a "Recycle Bin" and a "Public Folders" section. The "Public Folders" section contains "Personal Folders" and "My Subscribed Alerts".

On the right side, there are three panels showing report lists:

- The top panel shows a list of reports with columns "Title" and "Importer". The reports listed are "Shared Reports", "Trade", and "Training".
- The middle panel shows a list of reports with columns "Title" and "Importer". The reports listed are "Title" and "Importer".
- The bottom panel shows a list of reports with columns "Title" and "Importer". The reports listed are "Cargo Release", "Entry Summary", "Harmonized Tariff Sched...", "Importer Security Filing", "In-Bond", "ITRAC", "PGA Message Set", "Reference", "Revenue", and "Vessel Management Sys...".

Arrows indicate the navigation path: from the "Reports" button in the top right to the "Reports" button in the navigation bar, then to the "Public Folders" section in the sidebar, and finally to the "ACE" report in the bottom panel. The text "Choose where you want to go." is placed above the "Entry Summary" and "Revenue" reports in the bottom panel, with arrows pointing to them. The text "ES" and "REV" are placed to the left of the "Entry Summary" and "Revenue" reports, respectively, with arrows pointing to them.



ES-022
CAPE Entry Summary Report

As an importer, all of these field
Should be populated

Claim Number	Claim Status	Filer Code	Importer Number	Designated Notify Party CF4811 Number	Designated Notify Party CF4811 Name	Entry Summary Number	Entry Date	Liquidation Date	Control Team Number	Center ID Code	Center ID Name	Refund Amount	Interest Amount	Refund Number	Refund Date	Refund Status
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55587425	03/03/2026	06/05/2026	HBX	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588449	03/01/2026	06/05/2026	HBX	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588464	03/08/2026	06/05/2026	HBX	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588704	03/20/2026	06/08/2026	HBY	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55590189	03/07/2026	06/08/2026	HBR	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588845	03/05/2026	06/11/2026	ICH	CEE009	Industrial and Manufacturing Materials					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588860	03/05/2026	06/11/2026	ICH	CEE009	Industrial and Manufacturing Materials					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55588886	03/05/2026	06/11/2026	ICH	CEE009	Industrial and Manufacturing Materials					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55590015	03/05/2026	06/12/2026	BBD	CEE002	Agriculture and Prepared Products					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55586997	03/02/2026	06/18/2026	HBO	CEE008	Consumer Products and Mass Merchandising					
NNNNNNNNNN	CAPE Accepted	EMP	NN-NNNNNN789			EMP55590759	03/04/2026	06/24/2026	HBU	CEE008	Consumer Products and Mass Merchandising					

REV 603 Report Sample



REV – 603 Trade Refund Report - Filer

Report Parameters:

Refund Dates Between: 05/01/2026 to ALL

Refund ID	Filer Code	Payee ID	Company Name	C/O	Address	Refund Date	Refund Status	Refund Secondary Status	Refund Type	Document Number	Total Refund Amount	Check Number / ACH Trace Number	Check or ACH	Center ID	Team Number	Port Code
6626042	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/14/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99978591	\$4,895.69	3338072	ACH	PENDING	HBA	2704
6630455	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/14/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99979318	\$19,718.84	3338699	ACH	CEE008	HBX	3002
6640006	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/14/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99973436	\$2,383.72	3338685	ACH	CEE009	IBE	3001
6660526	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99987352	\$15,598.15	1800057	ACH	CEE009	ICA	5301
6660526	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99993129	\$5,957.60	1800057	ACH	CEE009	ICA	5301
6660526	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99984441	\$6,479.14	1800057	ACH	CEE009	ICA	5301
6660526	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP03502101	\$4,326.39	1800057	ACH	CEE009	ICA	5301
6660526	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP03502622	\$4,271.18	1800057	ACH	CEE009	ICA	5301
6660571	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99982478	\$39,069.95	1800167	ACH	CEE003	CCC	3901
6660571	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99982486	\$36,734.88	1800167	ACH	CEE003	CCC	3901
6660571	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99986347	\$16,937.10	1800167	ACH	CEE003	CCC	3901
6660571	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99989192	\$35,165.30	1800167	ACH	CEE003	CCC	3901
6660571	EMP	XX-123456789	ABC IMPORTER USA		123 ANY STREET , SEATTLE WA 98032 US	05/05/2026	TRANSMITTED	Treasury Issued	CAPE	EMP99993327	\$13,667.31	1800167	ACH	CEE003	CCC	3901

REV-615 CAPE Refund Sample



REV-615 CAPE Details Refunds Report

Consolidated Refund ID	Payee ID	Company Name	C/O	Address	Refund Date	Refund Status	Refund Secondary Status	Refund Type	Total Refund Amount	Check Number / ACH Trace Number	Check or ACH
NNNNNNNN	NNNNNNNN	ABC IMPORTER USA		123 Anystreet Seattle, WA 98032	05/06/2026	TRANSMITTED	Treasury Issued	CAPE	\$254,910.92	NNNNNNNN	ACH

Document Number	Claim Number	Refund Principal Amount	Refund Interest Amount	Center ID	Team Number	Port Code
EMP55583179	NNNNNNNNNN	\$3,283.80	\$215.86	CEE008	HBX	2704
EMP55584888	NNNNNNNNNN	\$4,482.80	\$289.97	CEE008	HBX	2704
EMP55584912	NNNNNNNNNN	\$2,375.20	\$153.64	CEE008	HBX	2704
EMP55585430	NNNNNNNNNN	\$4,422.00	\$286.04	CEE008	HBX	2704
EMP55586230	NNNNNNNNNN	\$17,502.00	\$1,074.02	CEE008	HBX	2704
EMP55586677	NNNNNNNNNN	\$9,536.60	\$603.54	CEE008	HBX	2704
EMP55589473	NNNNNNNNNN	\$2,062.40	\$126.56	CEE008	HBX	2704
EMP55589515	NNNNNNNNNN	\$23,095.20	\$1,409.20	CEE008	HBX	2704
EMP55589564	NNNNNNNNNN	\$4,045.20	\$251.77	CEE008	HBX	2704
EMP55589697	NNNNNNNNNN	\$4,449.00	\$276.90	CEE008	HBX	2704
EMP55590570	NNNNNNNNNN	\$4,761.60	\$292.20	CEE008	HBX	2704
EMP55591693	NNNNNNNNNN	\$9,972.80	\$601.56	CEE008	HBX	2704
EMP55591784	NNNNNNNNNN	\$6,849.80	\$408.40	CEE008	HBX	2704
EMP55591818	NNNNNNNNNN	\$14,124.40	\$842.13	CEE008	HBX	2704
EMP55593962	NNNNNNNNNN	\$9,453.00	\$568.55	CEE008	HBX	2704
EMP55594333	NNNNNNNNNN	\$493.20	\$29.41	CEE008	HBX	2704
EMP55595298	NNNNNNNNNN	\$7,940.60	\$463.76	CEE008	HBX	2704
EMP55596544	NNNNNNNNNN	\$35,683.05	\$2,053.01	CEE008	HBX	2704
EMP55596569	NNNNNNNNNN	\$51,731.65	\$2,976.37	CEE008	HBX	2704
EMP55500592	NNNNNNNNNN	\$15,136.80	\$834.10	CEE008	HBX	2704
EMP55501947	NNNNNNNNNN	\$9,252.60	\$500.23	CEE008	HBX	2704

**** Note – to run this report , you will Need the “Refund ID” found on the REV-603 Report**



ES 701 LIQUIDATION Sample



ES-701
Courtesy Notice of Liquidation

Report Parameters:

Entry Dates Between: 06/01/2025 to 02/01/2026	Liquidation Dates Between: ALL to ALL	Liquidation Status: ALL
Entry Summary Number: ALL	Importer Number: ALL	Filer: ALL
Liquidation Basis: ALL	Liquidation Duty Determination: ALL	Center ID Name: ALL
Team Number: ALL	Port of Entry Code: ALL	Importation Dates Between: ALL to ALL
Release Dates Between: ALL to ALL		

Entry Summary Number	Entry Date	Entry Type Code	Entry Type Long Description	Filer Code	Filer Name	Importer Number	Importer Name	Liquidation Date	Liquidation Status	Liquidation Duty Determination	Courtesy Notice Processed Date	Port of Entry Code	Center ID Name	Team Number	Total Estimated Amount	Total Paid Amount	Total Ascertained Amount	Total Bill Amount	Total Refund Amount	Total Interest Amount	Additional Surety Notice Date	Surety Code	Surety Name	Accelerated Liquidation Request Indicator
EMP9994200	06/17/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/15/2026	Liquidated	No Change	05/17/2026	3002	Apparel, Footwear and Textiles	DBI	\$45.49	\$45.49	\$45.49	\$0.00	\$0.00	\$0.00		036	American Alternative Insurance	N
EMP9995082	06/12/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/08/2026	Liquidated	No Change	05/10/2026	5301	Pending Center Assignment	EBB	\$4,533.94	\$4,533.94	\$4,533.94	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP9996122	06/04/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER		Extended		10/26/2025	4501	Pending Center Assignment	ICC	\$0.00		\$0.00					054	Southwest Marine and General Ins	N
EMP9996304	06/06/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	04/17/2026	Liquidated	No Change	04/19/2026	5201	Pharmaceuticals, Health and Chemicals	ACF	\$2,018.75	\$2,018.75	\$2,018.75	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP9997716	06/05/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER		Extended		10/26/2025	3901	Pending Center Assignment	ICC	\$0.00		\$0.00					054	Southwest Marine and General Ins	N
EMP9997807	06/04/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/01/2026	Liquidated	No Change	05/03/2026	4601	Pharmaceuticals, Health and Chemicals	ABC	\$10,545.96	\$10,545.96	\$10,545.96	\$0.00	\$0.00	\$0.00		036	American Alternative Insurance	N
EMP9997815	06/01/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	04/24/2026	Liquidated	No Change	04/27/2026	4601	Pharmaceuticals, Health and Chemicals	ACF	\$1,018.24	\$1,018.24	\$1,018.24	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP9998102	06/14/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER		Extended		10/26/2025	3501	Pending Center Assignment	ICC	\$0.00		\$0.00					054	Southwest Marine and General Ins	N
EMP9998144	06/02/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/01/2026	Liquidated	No Change	05/03/2026	5301	Pharmaceuticals, Health and Chemicals	ACF	\$14,490.92	\$14,490.92	\$14,490.92	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP9998151	06/06/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER		Extended		04/27/2026	5301	Pharmaceuticals, Health and Chemicals	ACF	\$0.00		\$0.00					856	LEXON INSURANCE COMPANY	N
EMP9998185	06/12/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/08/2026	Liquidated	No Change	05/10/2026	3901	Pharmaceuticals, Health and Chemicals	ACF	\$688.49	\$688.49	\$688.49	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP9998532	06/11/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER		Extended		04/27/2026	5301	Pharmaceuticals, Health and Chemicals	ACF	\$0.00		\$0.00					856	LEXON INSURANCE COMPANY	N
EMP9998680	06/17/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/15/2026	Liquidated	No Change	05/17/2026	3001	Agriculture and Prepared Products	BCD	\$313.13	\$313.13	\$313.13	\$0.00	\$0.00	\$0.00		054	Southwest Marine and General Ins	N
EMP9999183	06/12/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	08/08/2025	Liquidated	Change Decrease	08/10/2025	3901	Pending Center Assignment	ICC	\$3,665.58	\$7,992.58	\$3,665.58	\$0.00	\$4,327.00	(\$31.41)		054	Southwest Marine and General Ins	Y
EMP9999837	06/25/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/22/2026	Liquidated	No Change	05/24/2026	1303	Pending Center Assignment	ICC	\$1,691.03	\$1,691.03	\$1,691.03	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N
EMP03500188	06/02/2025	01	Consumption	EMP	PMK International LLC	XX-123456789	ABC123 IMPORTER	05/01/2026	Liquidated	No Change	05/03/2026	3901	Pharmaceuticals, Health and Chemicals	ACF	\$2,359.27	\$2,359.27	\$2,359.27	\$0.00	\$0.00	\$0.00		856	LEXON INSURANCE COMPANY	N

REV 613 ACH Rejected Sample



**REV-613
ACH Rejected Refunds**

Refund ID	Payee ID	Company Name	Document Number	4811 Notify Party	C/O	Trade Refund Secondary Status	SAP ACH Rejected Date	Refund Type
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99979888			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99980910			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982098			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982106			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982114			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982122			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982668			SAP ACH Rejected	05/08/2026	CAPE
10051816	NN-NNNNNN789	ABC IMPORTER USA	EMP99982965			SAP ACH Rejected	05/08/2026	CAPE